

**Securities regulations require that your account application be verified by your banker or a notary public as indicated below, unless your application is submitted to us in person.**

證券法例規定除親身於本行辦理開戶手續外，客戶需根據下列指示將帳戶申請表交由閣下的銀行或公證人確證。

1. Please complete Part 1 of this form; and  
請填寫本表格第一部份；及
2. Fill in either Part 2 or Part 3 for verification by your banker or a notary public.  
請填寫本表格第二或第三部份，並交予閣下的銀行或公證人核證。
3. You agree that Charles Schwab, Hong Kong, Ltd. may also send this form to your banker for verification/missing information, if necessary.  
閣下同意，如有需要，嘉信理財香港有限公司亦可自行將本表格寄往閣下的銀行以作確證／補充遺漏資料之用。

## 1. Applicant Information 申請人資料

### First Applicant (Primary Account Holder) 第一申請人(主要帳戶持有人)

<input type="checkbox"/> Mr. 先生	<input type="checkbox"/> Mrs. 太太	<input type="checkbox"/> Ms. 女士	<input type="checkbox"/> Dr. 博士
Last name 姓	First 名	Middle	
Passport or other identity document number issued by government authority 護照或其他官方身份證明文件號碼			
Country of issue 簽發國家			
Date of issue 簽發日期			

Primary Account Holder's Signature 主要帳戶持有人簽署
Date 日期

### Second Applicant (Joint Account Holder) 第二申請人(聯名帳戶持有人)

<input type="checkbox"/> Mr. 先生	<input type="checkbox"/> Mrs. 太太	<input type="checkbox"/> Ms. 女士	<input type="checkbox"/> Dr. 博士
Last name 姓	First 名	Middle	
Passport or other identity document number issued by government authority 護照或其他官方身份證明文件號碼			
Country of issue 簽發國家			
Date of issue 簽發日期			

Joint Account Holder's Signature 聯名帳戶持有人簽署
Date 日期

- All account holder(s) should sign.  
所有帳戶持有人均需簽署。
- Your signature should be the same as the one you use for your bank account and your account application.  
閣下之簽署式樣，需與閣下於銀行帳戶及帳戶申請表上之簽署相同。

For Charles Schwab Hong Kong use only 香港嘉信理財專用											
Approved By						Date Approved (mm/dd/yyyy)			Print Name of Approver		
Source Code						Indexing Code					
Office Code and Account Number											



**Verification by bank or notarization is necessary. Please fill in Part 2 or Part 3, but not both parts.**  
此表格必須由銀行或公證人確證。請只填寫第二或第三部份。

## 2. Verification by Bank 銀行確證

**If this form is presented to your bank, please fill in the following:** (If this form is presented to a notary, you need not complete this section.)  
如將本表格交予閣下的銀行, 請填寫下列各項資料: (如本表格交予公證人, 則無需填寫此項。)

Name of bank 銀行名稱
Address of bank 銀行地址
Name of Account Holder 帳戶持有人姓名
Account number 帳戶號碼

We certify that the specimen signature provided by the applicant in Part 1 and the information above compare favorably with our records.  
本銀行謹此證明申請人於第一部份提供之簽署式樣及上方所提供的資料均符合本行紀錄。

Authorized signature and chop of bank 授權人簽署及銀行蓋章
Print name 姓名
Title 職位
Date 日期

## 3. Verification by Notary Public 公證人確證

**If this form is presented to a notary, please fill in the following:**  
如將本表格交予公證人, 請填寫下列各項資料:

I \_\_\_\_\_ (name of the Notary Public) of \_\_\_\_\_ (address), being a Notary Public of \_\_\_\_\_ (country of jurisdiction), certify that \_\_\_\_\_ (name of account holder(s)) personally appeared before me and that the account holder(s) is/are personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) signing the specimen signature(s) on this form.

本人為 \_\_\_\_\_ (國家/地區)司法管轄區的公證人, 於 \_\_\_\_\_ 年 \_\_\_\_\_ 月 \_\_\_\_\_ 日, (所有帳戶持有人姓名) \_\_\_\_\_

親自到本公證人辦事處。本人對其有所認識(或根據適當證明)並確認本表格上的簽署式樣為帳戶持有人簽署。

Notary Public / Seal 公證人簽署/蓋章
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Charles Schwab, Hong Kong, Ltd. is registered with the Securities and Futures Commission ("SFC") to carry out the regulated activities in dealing in securities and advising on securities under registration CE number ADV256.

嘉信理財香港有限公司於香港證券及期貨事務監察委員會(「證監會」)註冊進行證券交易並就證券提供意見的受規管活動, 其中編號為ADV256。

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